ACADEMIC GUIDELINES

ACADEMIC STATUS

The responsibility of the University of Alabama at Birmingham School of Dentistry (SOD) includes the academic oversight of all students pursuing the DMD degree. The Academic Performance Committee (APC) is charged with monitoring and assessing the academic status of students in the DMD program. The Associate Dean of Academic Affairs (Associate Dean) serves as the Chair of the APC. The APC will meet on a regular basis, but no less frequently than at the close of each term. The APC will review grades and other material pertinent to student progress and evaluate the information as it relates to established school policy. Based on this information, the APC will make recommendations to the Associate Dean regarding promotion, probationary status, repetition, remediation, and dismissal. The final decision of academic status rests with the Associate Dean. These guidelines may be revised periodically, and academic decisions will be governed by the version of the Academic Guidelines in place at the time of the decision.

It should be noted that the APC reviews material when determining promotion recommendations for students. Grades, professionalism including ethics, academic interactions, student’s performance on the National Board Dental Examination (NBDE), and other relevant indicators are considered in the evaluation process.

Promotion/Graduation

Students with satisfactory professional conduct and no standing course failure may be recommended for promotion to the succeeding term, or for graduation if in the final term. Passing scores on Parts I and II of the NBDE, as well as demonstration of acceptable professional ethics and personal conduct, are required for promotion and graduation. This is in addition to other SOD and University policies including, but not limited to, appropriate medical clearance, the return of all institutional property, and payment of financial obligations.

Repetition/Dismissal

A recommendation for repetition of the academic year will be made if the APC determines that a student has the potential to complete the DMD program, but has not met the criteria to justify promotion to the next class level or for graduation. Any modification of the curriculum for the year being repeated will be at the discretion of the Associate Dean. Repetition of any given academic year for academic deficiencies or as a result of ethics sanctions will be allowed to occur only once.

A recommendation for academic dismissal may be made if sufficient evidence exists to indicate that a student will not be able to correct past academic deficiencies within a reasonable period of time. Once a student has been dismissed for academic reasons or ethics violations, future readmission to the SOD will not be considered.
The APC may recommend repetition or dismissal if a student does not, in their judgment, show sufficient promise to justify allowing the student to continue the study of dentistry, regardless of the student’s grades. A student may be judged unpromotable or be dismissed when he/she has displayed repeated lack of professionalism with respect to the management of patients, or in their interactions with other students, staff, or faculty. These factors, or any other concerns regarding professionalism, among others, may be treated equally in importance with other academic standards in that they reflect the developing capacity to deliver a high standard of health service.

Any of the following conditions may justify the APC’s recommendation for repetition or dismissal:

- Any failing grade.
- A failing grade and subsequent failure of the remediated course.
- Nonfulfillment of the outlined remediation of a failed course.
- Lack of appropriate professional development.
- Non-compliance of the Student Code of Ethics.
- Continued poor/marginal academic performance.

**Procedure for student notification**

The Associate Dean, or their designee, will convey any decisions involving academic actions to the appropriate student. An attempt will be made to notify the student in-person, but if this cannot be done in a timely manner the involved student may be notified via telephone, email, or U.S. mail sent to the student’s address listed in the SOD’s records.

The notification to each student shall include: the student’s academic deficiencies, the Associate Dean’s decision, any probationary stipulations, and the conditions for resumption of studies in the case of repetition.

**GUIDELINES FOR APPEAL**

**Grade appeal**

A student may appeal a grade in a course if he/she feels one of the following applies: 1. The grading was not in accordance with published course grading policy; 2. Inconsistencies were made in application of evaluation standards among students; 3. A procedural error occurred in establishing the grade (i.e. mathematical error); 4. The grading was arbitrary or capricious; or 5. The grading was affected by considerations of basis of race, disability, gender, ethnicity, or religious affiliation.

The student should seek resolution using the following steps:

1) Clarification should be sought from the course director in an attempt to resolve the disagreement without further appeal. This step must be completed within two weeks of the release of the course grade in the BlazerNET/Banner system.

2) If the matter is not resolved by consultation with the course director, the student may submit a written appeal to the chair of the department in which the course is given. This must be accomplished within two days after the conclusion of step 1 for consideration. The written appeal must state the basis for the appeal. The department chair will make a decision for or against the appeal. If the decision is for the appeal, the chair will initiate the grade change process for the course.

3) If the chair’s decision is against appeal, the student may make a final appeal to the Associate Dean. This must be done within two days after the department chair’s
decision. The Associate Dean will review the matter and then make a decision whether or not to dismiss the appeal. If the decision is to hear the appeal, the Associate Dean will appoint an ad hoc committee of four faculty members and one student to conduct a hearing. The student initiating the appeal has the right to challenge the seating of members of the ad hoc committee for just cause. If the challenge is deemed to have reasonable merit as determined by the Associate Dean, he/she will appoint replacement members for the hearing. The ad hoc committee will make a recommendation to the Associate Dean, whose decision will be final.

**Academic status appeal**

If a student believes there is reasonable cause to request an appeal of the decision made by the Associate Dean for dismissal or repetition of a year, the student must address a request in writing to the Chair of the Faculty Council along with the rationale or basis for the request within one week of the notification of the academic actions. The Chair of the Faculty Council will conduct a meeting of the Council as outlined below.

A hearing of the Council will be conducted as follows:

1) Minutes of the proceedings shall be kept. These will be placed in the student’s academic file in the Office of Academic Affairs.

2) The Chair of the Council will call the meeting to order and will introduce the members present.

3) The Associate Dean or his/her designee will present the rationale for the recommendations and decision relating to the student’s academic status. The student that initiated the appeal will not be present during this testimony.

4) The student shall then present his/her rationale for reversal of the Associate Dean’s decision. The student may have a single advisor present. The advisor may not take part in the hearing and if the advisor becomes disruptive he/she may be dismissed from the proceedings. The student may also call witnesses on his/her behalf.

5) Council members may question witnesses, the student, and the Associate Dean as they deem necessary. The Council may also call additional witnesses.

6) After hearing the testimony, the Council shall meet in closed session where discussion shall take place followed by a motion for or against the Associate Dean’s decision. A vote will be taken by secret ballot with a simple majority ruling. A vote in support of the Associate Dean’s decision will end the appeal process at the SOD level. A vote in favor of the student’s appeal will be followed by discussion and recommendations by the Council to the Dean of the SOD. These recommendations may range from less severe penalties to the complete lifting of academic actions.

7) The Chair of the Faculty Council will communicate the results of the vote and any recommendations to the Dean and the Associate Dean. The Dean may implement or modify the Faculty Council’s recommendation. The Dean of the SOD or their designee will issue a written final decision to the student via certified mail or hand delivery with copies distributed to the Chair of the Faculty Council and to the Associate Dean. The Dean’s decision is final for the SOD.
THE GRADING SYSTEM

Grades are intended to reflect the performance or degree of learning by the individual student. All grades are assigned after a careful review of information about a student's performance that was obtained in a systematic, reliable, and valid a manner as possible.

In addition, completion of course evaluation surveys as distributed by the Office of Academic Affairs is mandatory to pass any course in which a survey is administered. Failure to complete the course survey within the imposed timeframe will result in an automatic letter grade reduction for a standard letter grade course and automatic failure of a pass/fail course. If failure to complete the course survey results in failure of a course, the student will be subject to the academic actions associated with a failed course.

A  Mastery of course content at the highest level of attainment that can reasonably be expected of a student at a given stage of development. The A grade states clearly that the student has shown outstanding performance in the discipline under study.

B  Strong performance demonstrating a high level of attainment for a student at a given stage of development. The B grade states that the student has shown solid performance in the discipline under study.

C  An acceptable performance demonstrating a minimally adequate level of attainment for a student at a given stage of development. The C grade states that, while not yet showing unusual promise, the student may continue to study in the discipline with reasonable hope of intellectual development.

F  An unacceptable performance. The F grade indicates that the student's performance in the required exercises has revealed poor understanding of the course content and a lack of competency in the stated area. The APC will make recommendations to the Associate Dean as to whether to allow remediation of the failed course or if more severe academic action is justified (repetition or dismissal). If remediation is allowed, the course director must submit to the student, the department chair, and the Office of Academic Affairs a Deficient Grade Report Form (see Appendix A) assessing the student's performance and potential, and outlining a remediation plan.

I  A temporary grade of I may be assigned at the discretion of the course director when, due to extraordinary circumstances, the student was prevented from completing the work of the course on time. For an I to be awarded, the following circumstances must be met: the student does not complete all course requirements by the final day of the course; the student’s performance has been satisfactory to within two weeks of the end of the course; the student presents proof satisfactory to the course director that circumstances beyond their control prevented completion of course requirements, and such proof must be received by the course director no later than the deadline for grade submission as set by the Associate Dean. The I grade is not intended to be used when the course work has been unsatisfactory. Additionally, the Associate Dean must approve any grade assignments of I. The assignment of an I requires a written agreement between the course director and student specifying the time and manner in which the student will complete the course requirements during the student’s next period of enrollment. Assignments to make up an I must be submitted by the end of the next semester of enrollment at the SOD or earlier if mandated by the course director; if not submitted by that time the I will automatically change to an F. The grade of I may be removed upon successful completion of required course work without restriction as to the grade assigned.
On rare occasions, a student may be exempted from a course, and thus will be assigned the $G$ grade designation. Requests for exemption are handled on an individual basis and the decision to allow a student to be exempt from a course rests solely with the Associate Dean after consultation with the appropriate course director. The $G$ grade is not computed into the student’s GPA. A student who exempts a course or courses will be considered on a special track and a class ranking will not be generated.

A student will not be eligible for graduation if they have not successfully completed or remediated any grades of $I$ or $F$. Any remedial or make-up work after the final term of the D4 year will require re-enrollment at the SOD for at least an additional term with all associated tuition and fees.

**Pass/Fail Grades**

The Pass/Fail grading system applies in certain courses and is specified in the individual course syllabus. When a Pass grade is awarded, the student earns credit for the course, however this is not a component of the student’s GPA. A failing grade in a Pass/Fail course will trigger the same outcomes as outlined previously under $F$.

**Remediation**

Failure of a course may result in dismissal from the SOD, repetition of the academic year, or remediation of the course as decided by the Associate Dean after review of the student’s academic progress and consultation with the Academic Performance Committee. The following pertains to a course that has been approved for remediation. A fee, as approved by the University and the Board of Trustees of the University of Alabama, will be charged for any course that is remediated. Remediated courses will have a new course number, and will be graded on the Pass/Fail system. The original course grade as well as the remediated course grade will both appear on student transcripts.

Some courses are not amenable for remediation, as determined by the course director and the Associate Dean. Examples of such courses are large preclinical/clinical courses, and most basic science courses. If no remediation is offered, the APC may allow a student to remediate the course while repeating the entire academic year. The APC and Associate Dean may consider other methods of remediation as well.

Didactic courses: It is desirable that any remediable $F$ grade received in a didactic course, that has been approved for remediation by the Associate Dean, be remediated within four weeks of the release of the course grade in the BlazerNET/Banner system or the conclusion of a grade appeal, but this is at the discretion of the course director in consultation with the Associate Dean. If approved, the remediation may be satisfactorily completed by a variety of exercises and is at the discretion of the course director. These exercises may include any or all of the following: re-examination, an oral examination, a written assignment, reading assignments, additional laboratory exercises, and doing additional reports. If the student receives an $F$ in the basic science curriculum, an optional comprehensive examination may be offered but is subject to approval by the Associate Dean after consultation with the Academic Performance Committee. If the Associate Dean approves remediation of a course, the course director is to notify the student in writing (the Deficient Grade Report Form, Appendix A, can be used) of the terms of the remediation exercise. If a course is not successfully remediated within the specified time frame, the student will be subject to dismissal from the DMD program or repetition of the academic year.
Clinical and Laboratory Courses: Failing grades in clinical and laboratory disciplines often cannot be remediated, if allowed, in a four-week period, although course directors are encouraged to comply with this time period. F grades in clinical courses may require additional time in the preclinical dentistry laboratory and/or additional enrollment within the SOD.

Marginal Academic Performance: In addition, a student with marginal performance, even with passing grades, may be required to perform remedial work to continue in the DMD program. Furthermore, if the APC decides that the student’s performance may compromise entry into a sequential course or program or jeopardize the treatment of patients, remedial work may be assigned as warranted and may require additional enrollment within the SOD. If a student’s clinical performance is deemed to compromise patient welfare, a student may be withdrawn from clinical activities until they are deemed prepared to re-enter clinical activities.

HONORS

Dean’s List

Students who exhibit commendable professional behavior and whose grade point average (GPA) while enrolled as a full-time student is in the top ten percent of the class and who have not received any unsatisfactory or failing grades for the year are named to the Dean’s List. In the event that more than ten percent of the students in a class have 4.0 GPA’s for a given year, and possess the other requirements noted herein, all shall be named to the Dean’s List. If ethics violations occur, a student who would otherwise qualify for the Dean’s List may not receive this honor as determined by the Associate Dean.

Honors in Research

See SOD website for details (http://www.dental.uab.edu/students/current-students.html).
POLICY ON NATIONAL BOARD DENTAL EXAMINATIONS

All candidates for the DMD degree must pass both Parts I & II of the NBDE. In addition, the following policy will be enforced. Modifications of the guidelines set forth in Sections 1 and 2 below can be made on a case-by-case basis at the discretion of the Associate Dean.

1. National Board Dental Examination Part I

   The Director of Student Affairs will notify the D2 class during the fall term to remind students to register for Part I of the NBDE. It is the student’s responsibility to register for the computer exam. Anyone having difficulty with the registration process should contact the Director of Student Affairs for assistance. The deadline for registration for the exam is the date course grades for the Board Review course, which is given in December, are released into the BlazerNET/Banner system. The student must take the exam between the end of the fall term of the D2 year and the end of the subsequent D3 spring break holiday.

   Failure to take the exam by the deadline will result in academic actions as determined by the Associate Dean after consultation with the APC. If a student fails Part I at this time, the student must retake the exam after the minimum wait of 90 days but no later than the end of the break following the D2 year. The retake of the exam must be taken by this date or the student may be withdrawn from the SOD. If the student fails the exam again on their second attempt the student will be withdrawn from the SOD and repeat the D2 year in its entirety. The student would be required to pay all associated tuition and fees for this repeated year. A third failure of the exam would mandate dismissal from the SOD.

2. National Board Dental Examination Part II

   The Director of Student Affairs will notify the D4 class during the fall term to provide information for registration for Part II of the NBDE. It is the student’s responsibility to register for the computer exam. Anyone having difficulty with the registration process should contact the Director of Student Affairs for assistance. The student must arrange to take the exam between the start of the D4 fall break and the end of the subsequent winter break of the D4 year. Failure to take the exam by the deadline will result in academic actions as determined by the Associate Dean after consultation with the APC. These actions may include administrative withdrawal from the SOD. If a student fails Part II, they have the opportunity to retake the exam after the minimum wait of 90 days. If the student fails the exam a second time, they have the opportunity to retake the exam after the minimum wait of 90 days from the second exam date. If the student fails the exam a third time, the student will be dismissed from the SOD and will not receive their degree.
WITHDRAWALS and LEAVES OF ABSENCE

Administrative Withdrawals

Students who will be repeating all or part of the academic year as a result of academic sanctions or ethics violations may be administratively withdrawn from the SOD. The Associate Dean will determine the conditions for resumption of studies and the student’s academic status.

Student-Initiated Withdrawals

A student-initiated withdrawal means that the student is voluntarily severing his/her connection with the SOD prior to the completion of requirements for the DMD degree. Reasons might include changes in career objectives or other personal matters. The student’s request for withdrawal shall be made in writing to the Associate Dean. Consistent with the University policy, the Associate Dean may approve the student’s request for withdrawal, but such approval carries no stated or implied commitments concerning future readmission to the SOD.

If a student withdraws from the SOD prior to the second week of a term, course grades will not be given. Withdrawal following the second week will result in grades in any courses that have been initiated; however, if a course starts mid-term after the student-initiated withdrawal, a grade will not be generated. If the student withdraws following the close of a term, all course grades will be entered on the student’s transcript.

Tuition for the term will be refunded if withdrawal occurs prior to the second week of a term. Fifty percent of tuition will be refunded if withdrawal occurs between week two and week four of the term. No refund of tuition will be made for withdrawals after the fourth week of a term.

Leave of Absence

Leave of absence means that the student is temporarily halting his/her dental education at the SOD. The intense nature of dental education and the progressive development of patient care skills requires continuous enrollment. Therefore, except for bona fide conditions that compromise continued enrollment, a temporary leave of absence from the SOD is not possible. Potentially acceptable grounds for requesting a leave of absence include medical, financial hardship and/or other personal/social problems, and government required services (e.g., grand jury duty, military service, etc.). However, mere existence of these grounds does not ensure that the request will be granted. Anticipated academic difficulty is not grounds upon which to seek a leave of absence. If a leave of absence is granted during a term, the student will not receive grades for any courses in which they are currently enrolled.

The student’s request for a leave of absence shall be made in writing to the Associate Dean, and for a period not to exceed one year. The request shall set forth the period of absence desired, the reasons for the absence, and any other pertinent information. For those requesting government-required services, a copy of jury summons or a copy of military orders including the date of induction and duration must accompany the written request. To qualify for a leave of absence for a medical condition, the student must present a letter from their physician stating the nature of the medical condition, the reason why the student cannot continue in school, the expected duration of the condition, and the prognosis for successful resolution of the condition. Students requesting a leave of absence may be required to be evaluated at UAB’s Physician Resource Office and the student may also be required to undergo a secondary evaluation coordinated through the Physician Resource Office.
In making the decision on the request, the Associate Dean will consider the following factors: the student’s reasons as stated in the request, the student’s academic record, and the feasibility of reserving an open position in the SOD’s enrollment upon returning. If the request is approved, the student is assured the opportunity to return to the SOD at a designated time as stipulated in the Associate Dean’s letter of approval. Included in this letter may be conditions stating make-up or remedial work required of the student. The student must confirm his or her plans to re-enroll by notifying the Associate Dean in writing at least 30 calendar days prior to the previously determined re-enrollment date. If the student fails to notify the Associate Dean or does not return to the SOD at or before the designated time for expiration of the granted leave of absence, he/she shall be deemed to have withdrawn from the SOD.

Tuition for the term will be refunded if approval of the leave occurs prior to the second week of a term. Fifty percent of tuition will be refunded if it occurs between week two and week four of the term. No refund of tuition will be made for an approved leave of absence after the fourth week of a term.

MAXIMUM PROGRAM LENGTH

Students are expected to complete the DMD program in four academic years. Due to extenuating circumstances, including leaves of absence or repetition of a year, students may require more than four academic years to complete the program. If additional time to complete the program is granted, the program must be completed in no more than six academic years from the time of matriculation. Under extremely unusual circumstances, a student may petition in writing to the Associate Dean for an exception to this policy. Students admitted into the DMD/PhD program are exempt from the six-year stipulation.

STUDENT RECORDS & REGISTRATION

All students are required to be officially registered before being permitted to attend classes or clinics. A complete admission to and registration in the University includes, but is not limited to, residency status classification and submission of the required medical history and vaccination forms. Failure to complete these or any other part of the admission or registration procedures will make registration incomplete and subject to immediate cancellation.

Students are required to pay all University tuition and fees prior to the first day of classes. If payment is not received, the student’s registration will be canceled and the student will be unable to attend classes or participate in patient care activities. When the tuition has been paid, students will be able to register and may be charged a “late registration” fee.

The Dean’s Authority

The Dean of the SOD has the authority to waive or modify any academic decisions, or develop an alternative course of action. The Associate Dean may delegate his/her authority as outlined in this document at their discretion.
DEFICIENT GRADE REPORT FORM

DATE: 

TO: 

FROM: 

RE: COURSE NUMBER: 

Course Type: (Select One) ☐ DIDACTIC ☐ CLINIC ☐ PRECLINICAL

This memorandum is sent to you with respect to your performance, which is well below the expected level. Please give careful attention to the comments, recommendations, and plan.

COMMENTS REGARDING STUDENT PERFORMANCE AND POTENTIAL TO CORRECT DEFICIENCIES:


RECOMMENDATIONS AND PLAN:


COURSE DIRECTOR SIGNATURE: ________________________________

Copies to: _____ Associate Dean, Academic Affairs
 ______ Department Chair