

## UAB Gift Acceptance Policy and Guidelines for Publicly Traded Securities

UAB gratefully accepts gifts of publicly traded securities, including stocks, bonds and mutual funds. These types of gifts may be transferred electronically or delivered in certificate form. It is the University's general policy for all publicly traded securities to be sold upon receipt.

To receive prompt credit for your gift to the School of Nursing, please contact Virginia Gilbert Loftin, Director of Development for the School of Nursing, at (205) 934-5483, with the following information:

- Your intent to make a gift to UAB for the benefit of the School of Nursing
- The estimated transfer date
- The name of your securities firm
- The name and contact information of your broker
- The intended purpose of your gift (for example, a scholarship)

**Electronic transfer :**

UAB has established brokerage accounts at a number of local and national firms. However, our main account resides at Sterne, Agee & Leach, and all gifts of securities should be directed there if possible. Securities should be transferred to the following:

Sterne, Agee & Leach  
 DTC 0750  
 A/C Name: UAB  
 A/C Number: 8329820  
 Attention: Peggy Cobb, (205) 414-3300

**Physical Delivery:**

Securities, with properly completed endorsement(s) or stock power(s), should be hand-delivered to UAB.

**Contact John S. Pelliccio for instructions on how to properly prepare your documents.** So that we may better serve you, please call to schedule an appointment to deliver these documents.

**For help with making a gift:**

Name	Area of Service	Address	Phone (205)	Fax (205)	E-mail
Virginia Gilbert Loftin	Charitable gifts to the School of Nursing	1701 University Blvd. NB 119 Birmingham, AL 35294-1210	934-5483	934-0269	vgloftin@uab.edu
John S. Pelliccio	Securities and electronic transfer of funds	701 20 <sup>th</sup> Street South AB 338 Birmingham, AL 35294-0103	934-2172	975-9746	johnp@uab.edu
Daphne Powell	Planned Giving and Stewardship	701 20 <sup>th</sup> Street South AB 1270 Birmingham, AL 35294-0112	934-1807	934-7686	daphnep@uab.edu
Annette Forehand	Gift Records and Donor Services	701 20 <sup>th</sup> Street South AB 1230 Birmingham, AL 35294-0103	975-9012	975-4756	forehand@uab.edu