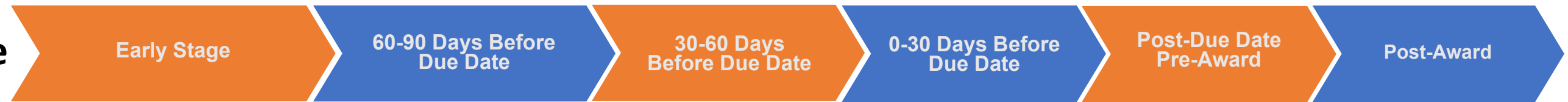









SBIR/STTR Timeline



	Early Stage	60-90 Days Before Due Date	30-60 Days Before Due Date	0-30 Days Before Due Date	Post-Due Date Pre-Award	Post-Award
 Faculty	<ul style="list-style-type: none"> Submits Financial Disclosure as necessary (within 30 days of acquiring the Financial Interest) Discusses idea with Dean or Dept. Chair 	<ul style="list-style-type: none"> Submits External Activity Request if appropriate 	<ul style="list-style-type: none"> Identifies UAB scope of work and budget Submits 30-Day Notice of Intent to Submit a SBIR/STTR Proposal to OSP 	<ul style="list-style-type: none"> Submits all Required Documents to OSP <u>5 days</u> before SBC's submission 	<ul style="list-style-type: none"> If award is not made, notifies OSP in regard to intent to resubmit or abandon Project. 	<ul style="list-style-type: none"> Performs work within the scope of budget and UAB G&A procedures, including closeout
 CCTS	<ul style="list-style-type: none"> I-Panel: facilitates discussion of scientific optimization & value proposition TIES: identifies potential collaborators across campus I-Corps: works with PI to explore market & business opportunity Pilots/demonstration: navigates intramural funding opportunities for proof of concept 	<ul style="list-style-type: none"> Panel: reviews of proposal and written strategy prior to submission 	<ul style="list-style-type: none"> Panel: reviews of proposal and written strategy prior to submission 			<ul style="list-style-type: none"> I-Panel-2: discussion of further scientific optimization
 SBC	<ul style="list-style-type: none"> Identifies funding mechanism Identifies university/faculty partner 	<ul style="list-style-type: none"> Obtains external IRB and other approvals Works with HIIE to create Company Development Plan if company is a UAB Start Up Entity 		<ul style="list-style-type: none"> Provides working copy of application to UAB PI at least 5 days before submission Submits application to agency on or before due date 	<ul style="list-style-type: none"> Negotiates option or license with HIIE for Background IP 	<ul style="list-style-type: none"> Provides subaward to UAB OSP for processing
 HIIE	<ul style="list-style-type: none"> Refers PI and relevant UAB Start-Up Entities to partners in commercialization eco-system (e.g., I-Panels, TIES, I-Corps, OIE consultation) 	<ul style="list-style-type: none"> Creates Company Development Plan if company is a UAB Start Up Entity 	<ul style="list-style-type: none"> Receives 30-Day Notice of Intent to Submit an SBIR/STTR and performs preliminary review 		<ul style="list-style-type: none"> Negotiates option or license with SBC for Background IP 	<ul style="list-style-type: none"> Performs agreement compliance including for achievement of milestones via periodic reports
 OSP			<ul style="list-style-type: none"> Receives 30-Day Notice of Intent to Submit an SBIR/STTR and performs preliminary review. Forwards Notice to HIIE and CIRB 	<ul style="list-style-type: none"> Provides pre-submission grant review If proposal is in order, provides Letter of Support to SBC Coordinates Allocation of Rights for Project IP 		<ul style="list-style-type: none"> Processes subaward received from SBC
 UAB Dept.		<ul style="list-style-type: none"> Reviews External Activity Request if appropriate (approval required) 	<ul style="list-style-type: none"> Reviews scope of work and budget (approval required) Reviews Request for Use of UAB Resources (approval required) 	<ul style="list-style-type: none"> Reviews SBIR/STTR Extramural Checklist prior to submission to OSP (Dean or Dept. Chair signature required) 		<ul style="list-style-type: none"> Provides financial monitoring as appropriate
 CIRB			<ul style="list-style-type: none"> Receives 30-Day Notice of Intent to Submit an SBIR/STTR from OSP and performs preliminary review 			<ul style="list-style-type: none"> Issues a management plan to the PI if a conflict of interest is identified (Final review occurs upon receipt of award)