The Export Control In a Nutshell series is designed to provide a comprehensive overview of key Export Control information in the form of iterative Research Matters articles.

In This Issue
- What are Export Controls?
- What is an “export”? And a deemed export”?
- Export Control Regulators
- The Five Ws (and 1H) of Export Control Compliance
- UAB Export Control Resources
What are export controls?

U.S. Export Controls are a set of laws and implementing regulations through which various Federal Agencies

• govern the transfer of, and limits access to,
• sensitive equipment, software, technical data, technology and services,
• by certain foreign entities and foreign persons,
• in order to promote several of the Government’s objectives and interests:
  • protect U.S. national security
  • advance U.S. economic interests
  • promote U.S. foreign policy objectives
What is an Export? AND What is a Deemed Export?

Export controlled Item, Technology, Software, or Service + Transfer or Release Outside of the U.S. + Foreign Person or Destination = Export

AND

Export controlled Item, Technology, Software, or Service + Transfer or Release Within the U.S. + Foreign Person or Destination = Deemed Export
**Who are the Primary Export Control Regulators?**

<table>
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<tr>
<th>Department of State, Directorate of Defense Trade Controls (DDTC)</th>
<th>International Traffic in Arms Regulations (ITAR)</th>
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</thead>
<tbody>
<tr>
<td>▪ Relates to military and defense articles and services, designed or configured for military applications, with no equivalent civilian or commercial products</td>
<td>▪ Controlled items found on the US Munitions List</td>
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<th>Department of Commerce, Bureau of Industry and Security (BIS)</th>
<th>Export Administration Regulations (EAR)</th>
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<tr>
<td>▪ Relates to “dual use” (civilian and military) items, information or software</td>
<td>▪ Controlled items found on the Commerce Control List</td>
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<th>Department of Treasury, Office of Foreign Assets Control (OFAC)</th>
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<td>▪ Administers and enforces economic and trade sanctions</td>
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The Five Ws (and 1 H) of Export Control Compliance

- Who am I exporting this item, technology, service or software to?
- What item, technology, service, or software I am exporting?
- Where is this item, technology, service, or software being exported to?
- Why am I exporting this item, technology, service or software?
- How is this item, technology, service or software being exported? (physical, electronic, oral, or visual)
UAB Export Control Resources

UAB Export Control Website:

https://www.uab.edu/exportcontrol/

For Direct Export Control Support Contact:

Marilyn Thomas, Director
Export Control & International Compliance
(205)996-2735
exportcontrol@uab.edu

UAB Export Control Resources
The *Export Control In a Nutshell* series is designed to provide a comprehensive overview of key Export Control information in the form of brief *Research Matters* articles.

Coming up in Next Month’s Issue:

- Export Control Exclusions
- Deemed Export Rule
- Export Controls and Foreign Influence