




# Export Controls

In a Nutshell  
Series





The *Export Control In a Nutshell* series is designed to provide a comprehensive overview of key Export Control information in the form of iterative *Research Matters* articles

### In This Issue

- What are Export Controls?
- What is an “export”? And :deemed export”?
- Export Control Regulators
- The Five Ws (and 1H) of Export Control Compliance
- UAB Export Control Resources

# What are export controls?

U.S. Export Controls are a set of laws and implementing regulations through which various Federal Agencies

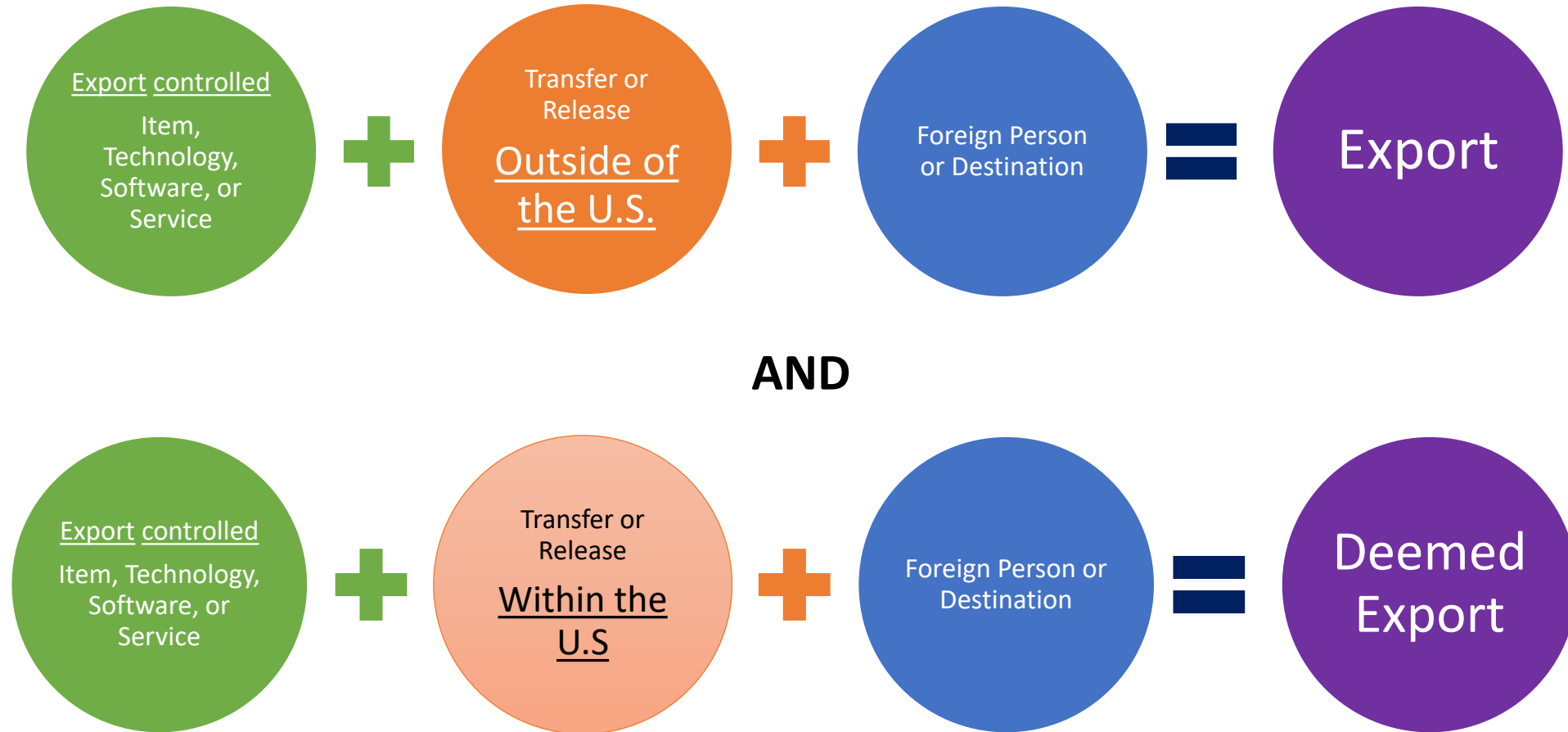
- govern the transfer of, and limits access to,
- sensitive equipment, software, technical data, technology and services,
- by certain foreign entities and foreign persons,
- in order to promote several of the Government's objectives and interests:
  - protect U.S. national security
  - advance U.S. economic interests
  - promote U.S. foreign policy objectives



# What is an Export ?

## AND

# What is a Deemed Export?



# Who are the Primary Export Control Regulators?



## **Department of State, Directorate of Defense Trade Controls (DDTC)** International Traffic in Arms Regulations (ITAR)

- Relates to military and defense articles and services, designed or configured for military applications, with no equivalent civilian or commercial products
  - Controlled items found on the US Munitions List



## **Department of Commerce, Bureau of Industry and Security (BIS)** Export Administration Regulations (EAR)

- Relates to “dual use” (civilian and military) items, information or software
  - Controlled items found on the Commerce Control List



## **Department of Treasury, Office of Foreign Assets Control (OFAC)**

- Administers and enforces economic and trade sanctions

# The Five Ws (and 1 H) of Export Control Compliance



Who am I exporting this item, technology, service or software to?



What item, technology, service, or software I am exporting?



Where is this item, technology, service, or software being exported to?



Why am I exporting this item, technology, service or software?



How is this item, technology, service or software being exported?  
(physical, electronic, oral, or visual)

# UAB Export Control Resources


## **UAB Export Control Website:**

<https://www.uab.edu/exportcontrol/>

## **For Direct Export Control Support Contact:**

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Coming up in Next Month's Issue:

- Export Control Exclusions
- Deemed Export Rule
- Export Controls and Foreign Influence