

## **Application for Admission to Candidacy—Doctoral**

**\*\*MUST BE RECEIVED BEFORE YOU CAN REGISTER  
FOR DISSERTATION RESEARCH HOURS\*\***

Complete the following form, supplying all necessary information.

Use the name you are registered under with UAB.

Provide the address where you receive official UAB mail.

Under Dates of Passing Qualifying Exams, list the dates you passed the written or oral examinations in these subjects. If no exam was given, put *NA* in the blank(s).

Under Major Subject, list the name of your graduate program.

Under Minor Subject, list any minor specialties you are pursuing. Put *NA* in the blank if you have no minor subjects.

If a Foreign Language or Other Tool of Research is required by your degree program, list it here. Otherwise, put *NA* in the blank.

List the two most recent degrees you have been granted, along with the university name(s) and date(s) of degree conferral.

If your research involves the use of human subjects, a copy your Institutional Review Board (IRB) approval form must be attached to the Admission to Candidacy form. Your name must appear on the form, either as the principal investigator or as an investigator participant. This approval must be kept current for the duration of your research. If you have questions about this requirement or about the status of your IRB approval, contact the IRB office (934-3789).

If your research involves the use of animal subjects, a copy of your Institutional Animal Care and Use Committee (IACUC) approval form must be attached to the Admission to Candidacy form. The animal project number that applies to your research must appear on the form. This approval must be kept current for the duration of your research. If you have questions about the status of your IACUC approval, contact the IACUC office (934-7847 or 934-7692).

Be sure to sign the form, and obtain the signatures of your Graduate Study Committee and Graduate Program Director.

The Graduate Dean's is the last signature and will be obtained by the Graduate School.

If you have questions about this form or the requirements for graduation, contact the Graduate School Office at 934-0656.

**Updated 1/08/09**

**GRADUATE SCHOOL  
UNIVERSITY OF ALABAMA AT BIRMINGHAM**

**APPLICATION FOR ADMISSION TO CANDIDACY FOR THE DEGREE OF DOCTOR OF SCIENCE**

Name \_\_\_\_\_ Email \_\_\_\_\_ Date \_\_\_\_\_

Mailing Address \_\_\_\_\_ Blazer ID \_\_\_\_\_

I hereby petition the Graduate Dean to be admitted to candidacy for the degree of Doctor of Science.

**Dates of Passing Qualifying Examinations**

**Written**

**Oral**

**Major Subject** \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Minor Subjects** \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Foreign Language** \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**And/or other Tool of Research**

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Dissertation Subject** \_\_\_\_\_

\_\_\_\_\_

I hold the following degrees:

\_\_\_\_\_ **Granted by** \_\_\_\_\_ **Year** \_\_\_\_\_

\_\_\_\_\_ **Granted by** \_\_\_\_\_ **Year** \_\_\_\_\_

\_\_\_\_\_ **Yes** \_\_\_\_\_ **No** **Project involves human subjects.**

\_\_\_\_\_  
(signature of candidate)

\_\_\_\_\_ **Yes** \_\_\_\_\_ **No** **Project involves animal subjects.**  
**If yes, attach approval or exemption.**

SUPERVISORY COMMITTEE: We, the undersigned, certify that the above named student has passed his/her qualifying examination for the degree of Doctor of Science. We approve the dissertation subject. We recommend the applicant to the Graduate Dean for admission to candidacy for the degree.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

We, the undersigned, record our dissenting.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
Program Director/Department Chair (date)

\_\_\_\_\_  
Approved by the Graduate Dean (date)

**Admission to Candidacy  
Research Compliance Verification Form**

**Instructions**

Complete this form, including all applicable forms and the signatures of the student, the student's advisor, and the Graduate Program Director. For research approval forms, contact the Institutional Review Board (IRB) (<http://www.uab.edu/irb> or 934-3789), or the Institutional Animal Care and Use Committee (IACUC) (<http://www.uab.edu/iacuc> or 934-7692).

**Human Subjects**

The University of Alabama at Birmingham defines a human subject as not only a living human being, but also human tissue, blood samples, pathology or diagnostic specimens, study of medical records, observation of public behavior, and all questionnaires or surveys.

Does the research proposed by the student involve human subjects? \_\_\_\_ Yes (continue below) \_\_\_\_ No

This research is:

Approved \_\_\_\_\_

Date \_\_\_\_\_

**IRB Protocol No.** \_\_\_\_\_

Attach a copy of your IRB approval. Your own name must appear on the original approval or on an attached amendment.

**Animal Subjects**

The University of Alabama at Birmingham defines a laboratory animal as any vertebrate animal (e.g., traditional laboratory animals, farm animals, wildlife, and aquatic animals) and certain higher invertebrate animals used in research, teaching, or testing at UAB or sponsored through UAB but conducted off-site (i.e., field research or at collaborating institutions, etc.).

Does the research proposed by the student involve animal subjects? \_\_\_\_ Yes (continue below) \_\_\_\_ No

This research is:

Approved \_\_\_\_\_

Date \_\_\_\_\_

**IACUC Protocol No.** \_\_\_\_\_

Attach a copy of your IACUC Notice of Approval, showing your research subject and the animal project number. If your own name does not appear on the Notice of Approval, take this form to the IACUC office for verification of approval.

The IACUC office verifies that \_\_\_\_\_ is covered under the attached approval.  
*(name of candidate)*

Signature of IACUC representative \_\_\_\_\_ Date: \_\_\_\_\_

**NOTE: The student's advisor, the student, and the Graduate Program Director agree that no research will be initiated until an application is submitted for review and approved by the appropriate review boards (IRB and/or IACUC) if the proposed thesis or dissertation project requires approval. If approval already exists, this student's name must be added to the existing protocol before candidacy will be approved by the Graduate School. It is the responsibility of the student's advisor and the student to comply with federal and UAB regulations associated with this research. Documentation of continuous, appropriate approval will be required before degree conferral; all required IRB and/or IACUC approvals must be current at the time final versions of theses or dissertations are submitted to the Graduate School.**

\_\_\_\_\_  
Student's Signature

\_\_\_\_\_  
Dept.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Student's Advisor

\_\_\_\_\_  
Dept.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Graduate Program Director

\_\_\_\_\_  
Dept.

\_\_\_\_\_  
Date