Admission to Candidacy
Research Compliance Verification Form

Instructions:
Complete this form, including all applicable approvals and the signatures of the student, the student’s advisor, and the Graduate Program Director.
For research approval forms, contact the Institutional Review Board (IRB) (http://www.uab.edu/irb or 934-3789) or the Institutional Animal Care and Use Committee (IACUC) (http://www.uab.edu/iacuc or 934-7692).

Human Subjects
The University of Alabama at Birmingham defines a human subject as not only a living human being, but also human tissue, blood samples, pathology or diagnostic specimens, study of medical records, observation of public behavior, and all questionnaires or surveys.

Does the research proposed by the student involve human subjects? ☐ Yes (continue below) ☐ No
The research is:
Approved _____________________ Date: _______________________
IRB Protocol No. ______________________________________________________
Attach a copy of your IRB approval. Your name and the protocol number must appear on the original approval or on an attached amendment.

Animal Subjects
The University of Alabama at Birmingham defines a laboratory animal as any vertebrate animal (e.g., traditional laboratory animals, farm animals, wildlife, and aquatic animals) and certain higher invertebrate animals used in research, teaching, or testing at UAB or sponsored through UAB but conducted off-site (i.e., field research or at collaborating institutions, etc.).

Does the research proposed by the student involve animal subjects? ☐ Yes (continue below) ☐ No
The research is:
Approved _____________________ Date: _______________________
IACUC Protocol No. ______________________________________________________
Attach a copy of your IACUC Notice of Approval, showing your research subject and the animal project number. If your name does not appear on the Notice of Approval, take this form to the IACUC office for verification of approval.
The IACUC office verifies that ____________________________ is covered under the attached approval.
(name of candidate)
Signature of IACUC representative ____________________________ Date: _______________________

NOTE: The student’s advisor, the student, and the Graduate Program Director agree that no research will be initiated until an application is submitted for review and approved by the appropriate review boards (IRB and/or IACUC) if the proposed thesis or dissertation project requires approval. If approval already exists, this student’s name must be added to the existing protocol before candidacy will be approved by the Graduate School. It is the responsibility of the student’s advisor and the student to comply with federal and UAB regulations associated with this research. Documentation of continuous, appropriate approval will be required before degree conferral; all required IAB and/or IACUC approval must be current at the time final versions of theses or dissertations are submitted to ProQuest.

Student’s Signature ____________________________ Dept. ____________________________ Date ____________________________

Signature of Student’s Advisor ____________________________ Dept. ____________________________ Date ____________________________

Signature of Student’s Graduate Program Director ____________________________ Dept. ____________________________ Date ____________________________

Updated 05/2019