Crystal structure of a bacterial RNA polymerase holoenzyme at 2.6 Å resolution

Dmitry G. Vassylyev*†, Shun-ichi Sekine*†, Oleg Laptenko‡, Jookyung Lee‡, Marina N. Vassylyeva†§, Sergei Borukhov‡ & Shiqeyuki Yokoyama*†§||

- * Cellular Signaling Laboratory, and † Structurome Research Group, RIKEN Harima Institute at Spring-8, 1-1-1 Kouto, Mikazuki-cho, Sayo, Hyogo 679-5148, Japan ‡ Department of Microbiology, SUNY Health Science Center, 450 Clarkson Avenue, Brooklyn, New York 11203, USA
- § RIKEN Genomic Sciences Center, 1-7-22 Suehiro-cho, Tsurumi, Yokohama 230-0045, Japan

Department of Biophysics and Biochemistry, Graduate School of Science, University of Tokyo, 7-3-1 Hongo, Bunkyo-ku, Tokyo 113-0033, Japan

In bacteria, the binding of a single protein, the initiation factor σ , to a multi-subunit RNA polymerase core enzyme results in the formation of a holoenzyme, the active form of RNA polymerase essential for transcription initiation. Here we report the crystal structure of a bacterial RNA polymerase holoenzyme from *Thermus thermophilus* at 2.6 Å resolution. In the structure, two aminoterminal domains of the σ subunit form a V-shaped structure near the opening of the upstream DNA-binding channel of the active site cleft. The carboxy-terminal domain of σ is near the outlet of the RNA-exit channel, about 57 Å from the N-terminal domains. The extended linker domain forms a hairpin protruding into the active site cleft, then stretching through the RNA-exit channel to connect the N- and C-terminal domains. The holoenzyme structure provides insight into the structural organization of transcription intermediate complexes and into the mechanism of transcription initiation.

The DNA-dependent RNA polymerase (RNAP) is the principal enzyme of the transcription process, and is a final target in many regulatory pathways that control gene expression in all living organisms. In bacteria, RNAP is responsible for the synthesis of all RNAs in the cell (messenger RNA, ribosomal RNA, transfer RNA, and so on). The bacterial RNAP exists in two forms: core and holoenzyme. The core enzyme has a relative molecular mass of about 400,000, and consists of five subunits: α -dimer $(\alpha_2),\beta,\beta'$ and ω . They are evolutionarily conserved in sequence, structure and function from bacteria to humans 1,2 .

The transcription cycle in bacterial cells can be divided into three main stages: initiation, elongation and termination. Although it is catalytically active, the core enzyme is incapable of initiating transcription efficiently and with specificity. For this, it must bind an initiation factor, σ, to form a holoenzyme that can recognize specific DNA sequences (promoters)^{3,4}. During initiation, the holoenzyme specifically binds to two conserved hexamers in the promoter at nucleotide (nt) positions -35 and -10 relative to the transcription start site (+1), to form a closed promoter complex. Then, it unwinds the double-stranded DNA (dsDNA) around the -10 region (between nt -12 and +2), resulting in the open promoter complex, and initiates transcription in the presence of nucleoside triphosphate substrates⁵. After the synthesis of an RNA 9–12 nt long, of which 8 or 9 are base-paired with the DNA template strand (DNA-RNA hybrid), the transcription complex passes from the initiation to elongation stage⁶. This transition is characterized by the escape of RNAP from the promoter, the dissociation of σ from the core, and the formation of a highly processive ternary elongation complex. An alternative mechanism has been proposed, in which σ factor remains bound to the core in the ternary complex during the elongation stage^{7,8}. During the transition from initiation to elongation, RNAP undergoes a significant conformational change^{5,9}. The σ factor has a central role in initiation, being directly involved in promoter recognition, DNA melting and promoter escape and clearance^{4,10}.

Among several distinct σ factors^{4,11}, σ 70 is primarily responsible for the transcription of most 'housekeeping' genes in the cell during

exponential growth. The family of related σ 70 proteins shares four regions of sequence homology, designated 1–4, which are further divided into several subregions 12. The regions 4.2, 2.3–2.4 and 2.5 were shown to recognize the -35, -10, and the so-called 'extended -10' (around nt -17 to -13) elements of the promoter, respectively 13–15. In addition, regions 2.3–2.4 are known to interact specifically with the -10 region of the nontemplate strand in the open promoter complex 16,17. Finally, genetic and biochemical data have shown that the portions of σ that contact the core include most of these conserved regions 18–20.

The crystal structures of the *Thermus aquaticus* RNAP core²¹, the yeast *Saccharomyces cerevisiae* RNAP (polymerase II, Pol II)²², and the Pol II ternary elongation complex with a 9-base pair (bp) DNA–RNA hybrid²³ revealed the high degree of structural similarity between the prokaryotic and eukaryotic RNAPs. Together with information gained from a wide range of biochemical, biophysical and genetic studies, these structures allow a plausible modelling of bacterial elongation and open promoter transcription complexes^{24,25}.

However, many aspects of the σ -dependent transcription initiation still remain obscure. These include: the promoter recognition by the holoenzyme; the formation of a closed promoter complex and its transition to an open complex; and the promoter escape and dissociation of σ factor from RNAP. The high-resolution structure of the *T. thermophilus* RNAP holoenzyme reported here provides information crucial to addressing these questions. It allows us to identify the structural changes in the core induced by σ binding, and to correlate them with the known functional, biologically relevant properties of the holoenzyme. Finally, the holoenzyme structure substantially improves the atomic model of the RNAP core, which is currently available at a modest resolution.

Structure determination and overall structure

The holoenzyme crystals belong to the $P3_2$ space group with unit cell dimensions a = b = 236.35, c = 249.04 Å. The structure was determined by molecular replacement and refined against data to 2.6 Å resolution (Fig. 1a, b; see also Supplementary Information).

The holoenzyme maintains the overall crab-claw-like shape (Fig. 1c) that was observed in the structures of the *T. aquaticus* core and *S. cerevisiae* Pol II^{21,22}. However, the presence of the σ 70 subunit and the large portion of the nonconserved N-terminal domain of the β' subunit in the model greatly extends the β' pincer, thus making the analogy to a crab claw even more fitting. The σ 70 subunit is located almost entirely on the core surface, except for a short segment (σ 313–342), which is buried within the core molecule. The binding of σ to the RNAP core does not seem to result in the widening of the DNA-binding channel, as was previously thought. On the contrary, certain structural elements of σ reduce the available space of functionally important cavities in RNAP that are presumed to accommodate the transcription bubble and the RNA product. These elements include: (1) the second

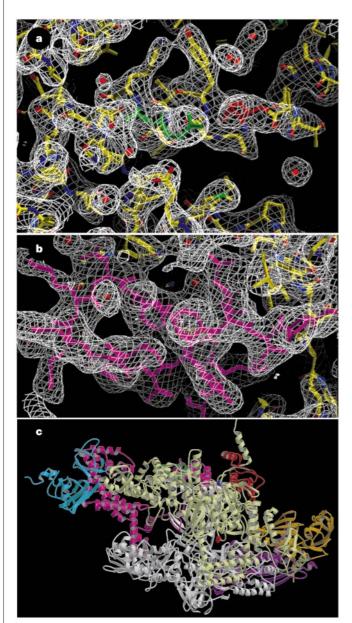


Figure 1 Holoenzyme crystal structure. **a, b,** The β'F bridge helix (**a**) and the hairpin loop of σ (**b**). The $|2F_0 - F_C|$ electron density map (1.3 σ contour level; white) is superimposed on the atomic model. Protein atoms have atom-dependent colours, except for the σ -subunit (magenta) and the β' residues Asp 1,090 (red) and Arg 1,096 (green). Water molecules are represented by red spheres. **c**, The overall structure. The colours of the subunits are: β , khaki; β' , white (β' 163–452, cyan; β' Zn finger, green); α I, blue; α II, light orange; σ , magenta; and σ , red. Two catalytic Mg²⁺ (red) and two Pb²⁺ (Zn²⁺) ions (blue) are shown as spheres.

N-terminal domain of σ , which bridges the β and β' pincers of the claw on the upstream DNA side of RNAP, creating a wall that blocks the opening of the DNA-binding channel; (2) a hairpin-like motif of σ , which protrudes into the cleft of the active site, towards the possible location of the RNA–DNA hybrid; and (3) an extended fragment following the hairpin-like motif, which occupies the RNA-exit channel.

The core-to-holoenzyme conversion is accompanied by changes in all structural domains of the core enzyme. The most noticeable include the βG flap domain ($\beta 702–828$), the αI subunit, the large N-terminal portion of β ($\beta 20–395$), and the β' coiled-coil domain ($\beta'540–585$) (Fig. 2). The RNA-exit channel is most significantly affected by $\sigma 70$ binding. The channel is constricted by the β flap domain, which is shifted by about 5–6 Å towards the σ C-terminal domain compared with the *T. aquaticus* core²¹. The change in the orientation of the 'flap tip' helix, which is now squeezed between three α -helices of σ (Fig. 2), is even more dramatic (~ 11 Å shift). The change in the orientation of individual domains of the RNAP subunits (αI and $\beta 20–395$) that are adjacent to the β flap domain seems to mirror the flap domain rotation.

In the crystal structure, we observed many Mg²⁺ ions bound to holoenzyme, most of which formed a coat on the protein surface. These metal ions could be important in the binding and bending of the DNA molecule, which is proposed to be wrapped around RNAP during transcription²⁶.

Structure of σ 70

The atomic model of the σ 70 subunit lacks the disordered N-terminal domain (σ 1–73), which includes the conserved σ region 1.1. This is a self-inhibitory domain, which is known to mask the DNA-binding regions of σ before it binds the core²⁷. Its precise role in transcription initiation is not understood.

The modelled structure of σ 70 consists entirely of α -helices connected by either turns or loops (Fig. 3). It can be divided into four structural domains: N-terminal domain 1 (ND1), N-terminal domain 2 (ND2), 'linker' domain (LD) and C-terminal domain (CD).

ND1 consists of eight α -helices (σ 74–254) comprising four helixturn–helix (HtH) motifs: HtH1 (σ 75–121), HtH2 (σ 94–137), HtH3 (σ 123–147) and HtH4 (σ 152–203) (Figs 3 and 4a). This domain encompasses region 1.2 up to the N-terminal half of region 2.4, including the nonconserved segment between regions 1 and 2. ND1 has a U-shaped structure, and is connected to ND2 (σ 261–312) by a short linker loop (σ 255–260), which lies at the C terminus of region 2.4.

ND2, corresponding to regions 2.4–2.5 and 3.1, consists of three α -helices that fold into an α -helical bundle (Figs 3 and 4a). The C-terminal helix of ND1 and the N-terminal helix of ND2 (σ 234–281) form a V-shaped structure (Fig. 4a) near the opening of the upstream DNA-binding channel. The inner surface of the V is lined by the residues from the C- and N-terminal α -helices of ND1 and ND2, respectively. These α -helices correspond to regions 2.3–2.5 (Fig. 3c), which are known to be involved in the specific binding of σ to the -10 and extended -10 elements of the promoter α 1.15.

The 30-residue LD (σ 313–339; region 3.2) intervenes between the globular N- and C-terminal portions of σ , and has mostly an extended, unfolded conformation (Figs 3b and 4b). Roughly at its midpoint, the LD forms a hairpin loop (σ 318–329) that protrudes into the active site cleft, between the 'rudder' loop (β ′584–601) and the 'lid' loop (β ′526–538), which are believed to interact directly with nucleic acids^{21,22}. The rest of the LD stretches along the RNA-exit channel towards σ 's CD.

The CD (σ 340–423), which includes conserved regions 4.1 and 4.2, contains four α -helices, which are arranged as a pair of HtH motifs (HtH5, σ 341–376; and HtH6, σ 387–418) (Figs 3 and 4c). The conserved σ region 4 was shown to recognize the -35 promoter element specifically^{28,29}. The CD is located on the core surface in the

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vicinity of the opening of the RNA-exit channel. This site is about 57 Å from the N-terminal half of the protein, containing regions 2.3–2.5.

All of the conserved sequence motifs between the *T. thermophilus* σ70 and the Escherichia coli σ70 fragment³⁰ are also conserved in three dimensions with a root-mean-square deviation (r.m.s.d.) of 2.9 Å over 125α -carbon ($C\alpha$) atoms³¹. Therefore, it is likely that the E. coli σ70 conformation and mode of core binding are similar to those of the T. thermophilus protein. Interestingly, we detected an intrinsic structural similarity between the CD and the nonconserved portion of ND1 in *T. thermophilus* σ (Fig. 4d), although they do not exhibit any sequence homology. Structural similarity also exists, to a lesser extent, between the CD and the nonconserved region of the E. coli σ 70 fragment (σ 274–338). This observation raises the possibility that these structural segments have evolved from a common ancestor. Their structural similarity and the presence of HtH motifs suggest that the nonconserved ND1 region of σ might have served as an alternative DNA-binding site at some stage of evolution.

β' zinc finger and N-terminal domains

The previously reported structure of the *T. aquaticus* core enzyme lacks the 'zinc finger' domain ($\beta'53-81$) and the N-terminal nonconserved region of the β' subunit ($\beta'163-452$). These domains are fixed in the holoenzyme structure.

The zinc finger domain has no secondary structure, and folds into a U-shaped structure, with two long loops harbouring one Pb²⁺ ion $(Zn^{2+}$ in the native enzyme) between them. In the holoenzyme, this domain is located between the N- and C-terminal domains of σ 70, in the vicinity of σ 's CD, which implies a potential role in promoter binding (Fig. 4a, c).

We traced a large portion of the β' N-terminal nonconserved domain, spanning residues $\beta'163-251$ and $\beta'364-452$. This domain consists mostly of β -strands, with one α -helix near its N terminus, and two short α -helices at the end of its N-terminal portion, just before the gap. The nonconserved β' domain is close to the σ ND1 domain, which suggests that its primary function is as an anchor for the $\sigma70$ subunit (Figs 1c and 4a).

Interactions between σ 70 and the core

In summary, among the four structural domains of σ 70, ND1 makes the most extensive contacts with the RNAP core. Its U-shaped structure folds around the nearby α -helical coiled-coil of β' (β' 540–585)^{18,20,32,33}. Residues from both nonconserved and conserved (1.2, 2.1, 2.2, 2.4) segments of ND1 make multiple polar interactions with these β' α -helices (Fig. 4a). The outer surface of the U interacts with the N-terminal portion of the β' subunit (β' 95–460), which includes a large portion of the nonconserved segment.

The ND2 of $\sigma70$ (Fig. 4a) is loosely bound to the core. Although it bridges the $\beta\beta'$ pincers of the claw, it has only a few direct interactions with the core molecule. However, the binding is enhanced by multiple water-mediated interactions between ND2 and the core. The putative flexibility of ND2 may be important in the -10 hexamer recognition and/or in the discrimination between promoters with -10 or extended -10 elements^{14,15}.

The $\sigma 70$ LD makes few contacts at its N terminus with the β' loops that form the 'rudder' and 'lid' structures (Fig. 4b). The hairpin loop ($\sigma 318-329$) interacts with the 'lid' loop, as well as the short α -helix ($\beta' 612-619$) that follows the rudder in β' . The C-terminal half of the LD, which is entirely buried within the RNA-exit channel, makes a couple of contacts with the N-terminal segment of β' and the C terminus of β .

The interaction of σ CD with the core is limited to its contacts with the flap tip helix of the β subunit (β 768–779) on the one side, and the β' zinc finger domain on the other (Fig. 4c).

Altogether, there are surprisingly few direct polar interactions between the residues of the σ and core molecules, despite their large interface area.

Catalytic site

As in the structures of the *T. aquaticus* core²¹ and *S. cerevisiae* Pol II²², the active site of *T. thermophilus* RNAP is defined by the three invariant aspartate residues of the β' subunit (Asp 739, Asp 741 and Asp 743), located within a highly conserved sequence motif (NADFDGD)³⁴. In the holoenzyme structure, one of the two molecules in the asymmetric unit has one Mg²⁺ ion chelated by three active-site aspartates. The second molecule of the holo-

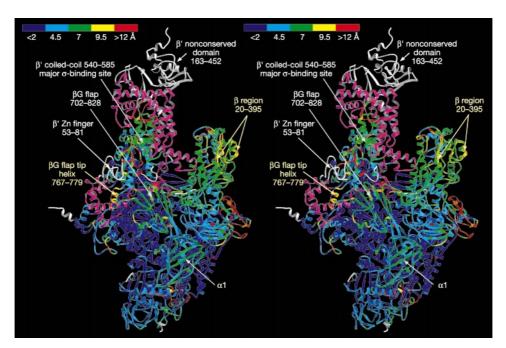


Figure 2 Comparison between the holoenzyme and *T. aquaticus* core structures. In the stereo view shown, the holoenzyme is coloured from blue (<2 Å) to red (>12 Å), according to the deviation in the positions of the $C\alpha$ atoms of the corresponding holoenzyme and core residues. The σ subunit and other structural elements that are

absent from the *T. aquaticus* structure are shown in magenta and white, respectively. Most of the deviations that are more than 12 Å (red) correspond to the mis-assigned regions in the *T. aquaticus* structure. The structures were superimposed by the β' subunits (r.m.s.d. = 1.65 Å over 700 C_{α} atoms).

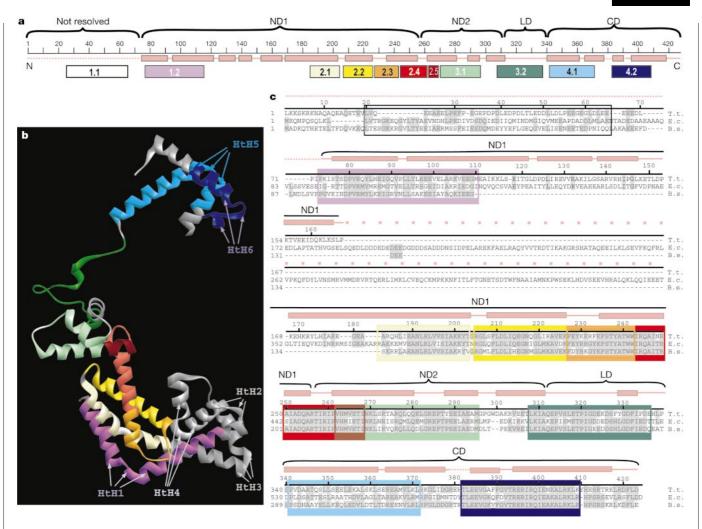


Figure 3 Organization and structure of the σ . **a**, Scheme of structural domains and conserved regions. **b**, Ribbon diagram of σ . The colour coding is the same as in **a** except for the nonconserved regions (grey). **c**, Secondary structure and sequence alignment. Numbers of *T. thermophilus* residues are used. In **a** and **c**, the secondary structure is

indicated in pink as boxes (α -helices), solid lines (coils) and dotted lines (disordered structures). The σ factors from *T. thermophilus* (T.t.), *E. coli* (E.c.) and *Bacillus subtilis* (B.s.) were aligned using the LaserGene program. Identical residues are greyed. Conserved regions of σ (1.1–4.2 in **a**) are boxed and coloured as in **a**.

enzyme contains two Mg^{2+} ions (with *B*-factors of 26 Ų and 27 Ų) bound at the catalytic centre. The same three conserved aspartates, together with several neighbouring water molecules, form a network of interactions responsible for holding these two Mg^{2+} ions (Fig. 5a). Two metal ions are separated by 3.0 Å, and the distance between each metal ion and the oxygen ligand is in the range of 2.5–4.0 Å. These results are consistent with the two-metal-ion mechanism proposed for all nucleic acid polymerases³5.

The bridge helix

The β' bridge helix (β' 1,067–1,102), which separates the internal space occupied by the transcription bubble and the downstream DNA, had somewhat different conformations in the structures of the *T. aquaticus* core and the eukaryotic Pol II^{21–23}. This difference may reflect two possible states of the bridge helix in both enzymes. It has been proposed that the transition between the two conformations triggers the translocation of RNAP during RNA polymerization²². Here, we present a more detailed view of this process, based on the high-resolution structure of the holoenzyme.

The overall shape of the bridge helices in the bacterial holoenzyme and Pol II is nearly identical (r.m.s.d. = $1.1\,\text{Å}$ over 33 C α atoms), with both helices having a bend of about 28° (Fig. 5b). The conformational difference between the two enzymes is localized to the central region of the helix. In Pol II, the bridge helix maintains a

uniform α -helical conformation, whereas in the holoenzyme, the backbones of two residues (Asp 1,090, Ser 1,091) in the middle are flipped out of the α -helix (not partially unwound, as observed in the T. aquaticus core structure). This pair of flipped-out residues could serve as a molecular 'switch' in RNAP translocation. In the holoenzyme structure, the observed unstable, flipped-out conformation of the bridge helix centre is stabilized by intrahelical hydrogen bonding between Asp 1,090 and the invariant Arg 1,096 (Fig. 5c). In the Pol II elongation complex structure (Protein Data Bank (PDB) accessions number 1I6H), the counterpart of this arginine bridges two adjacent phosphates of the template strand DNA at positions i and i_{+2} (conventionally, i and i_{+1} refer to the product-binding and substrate-binding sites, respectively) (Fig. 5d). In addition to the bridge helix, a conserved β'G loop comprising residues 1,238–1,250 may also be involved in the translocation process. This loop is traced in the holoenzyme structure, but is disordered in both the T. aquaticus core and Pol II structures 21,22 . The $\beta'G$ loop forms part of the floor of the downstream DNA-binding cavity, and is located close to the distorted, central region of the bridge helix (Fig. 5c). If we model the bridge helix in a uniform α -helical conformation (as observed in the Pol II structure), then the Asp 1,090 side chain would clash with a patch of highly conserved hydrophobic residues (Met 1,238, Phe 1,241 and Leu 1,256) located in this flexible β'G loop (Fig. 5d). Thus, it appears that the bridge

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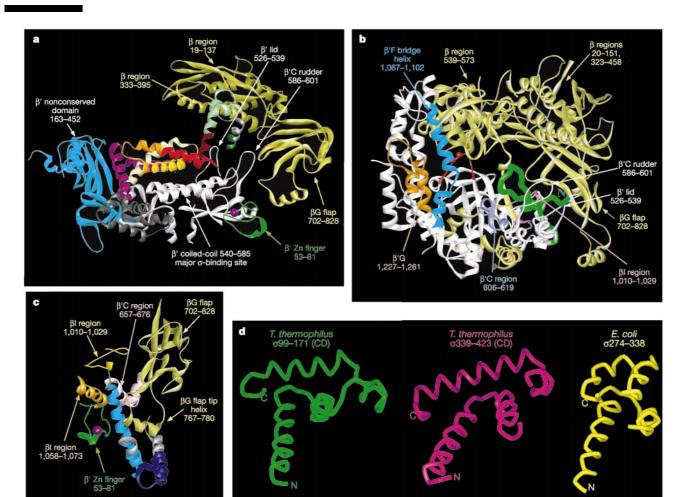


Figure 4 Individual σ domains and their interactions with the core. The conserved regions of σ have the same colours as in Fig. 3a. **a**, The σ domains ND1 and ND2 and the neighbouring core regions are shown. **b**, Partial view of the active centre cleft, showing the buried location of σ LD (green) and its hairpin loop. The catalytic loop and the Mg²⁺

ions are shown in red. \mathbf{c} , The σ CD is shown together with the closely interacting regions of β and β' . \mathbf{d} , Structural similarity between the $\mathit{T.}$ thermophilus conserved σ CD, and the nonconserved regions of the $\mathit{T.}$ thermophilus σ ND1 and $\mathit{E.}$ coli σ 70 fragment.

helix may alternate between the flipped-out and normal α -helical conformations, depending on the position of the $\beta'G$ loop (the 'trigger' loop).

Taken together, these structural data allow us to propose a possible mechanism for RNAP translocation during RNA synthesis. At the step of 'relaxation' (after translocation, before the next reaction), the template base at position i_{+1} is paired with the substrate nucleoside triphosphate (NTP), the bridge helix is in an all α -helical conformation, the Arg 1,096 bridges the i and i_{+2} DNA phosphates, and the flexible trigger loop is distal (rather than proximal) to the bridge α-helix. After phosphodiester bond formation, a signal induces the movement of the trigger loop towards the bridge α -helix, pushing out the 'switch' residues. In their flipped-out conformation, the switch residues may engage the DNA phosphate at position i_{+1} and bring the bridge α -helix under the DNA backbone towards the i_{+2} nucleotide. During this step, Arg 1,096 may also switch its interacting partner from DNA phosphates to the side chain of an acidic (polar) switch residue, thus simultaneously stabilizing the flipped-out conformation of the switch residues and facilitating the translocation of the enzyme (Fig. 5c, d).

Promoter recognition by the RNAP holoenzyme

A working model of bacterial open promoter complex has been constructed that is largely consistent with the genetic, biochemical and structural data²⁵. In this model, a straight dsDNA fragment is

placed to indicate the orientation of the promoter region upstream of its -10 element on the surface of the RNAP core enzyme. The presence of the σ subunit in our structure allows us to further improve on this model. After superposition of the core and holoenzyme structures, a simple translation of this dsDNA fragment is enough to bring it into plausible assembly with the holoenzyme (Fig. 6a). In this model, the -35 promoter hexamer is recognized by the recognition helix in HtH6 of the σ CD (region 4.2). The -10 promoter region apparently passes between the two central domains of σ , ND1 and ND2, which form a V-shaped nook at the entrance to the active site cleft. However, the 17-bp spacer between the -35 and -10 regions appears to be too long to be accommodated as a straight dsDNA fragment between the two promoter recognition modules of σ . Therefore, a local bend or a kink in the DNA seems necessary to bring the −10 promoter element and region 2.4 of σ into contact (Fig. 6a), making the model consistent with the biochemical and genetic data^{14,15,36,37}. In particular, in this model, the residues from regions 2.3–2.4 (σ241– 255) and 2.4–2.5 (σ 256–269) are inserted in the major groove of the DNA, in the vicinity of the -12, -11 and -13 to -16 base pairs, respectively, which would allow base-specific recognition of the -10and extended -10 regions of the promoter (Fig. 6a).

DNA melting by RNAP holoenzyme

After forming a closed complex at the promoter, the holoenzyme melts and unwinds the DNA near the -10 region (nt -12 to +2) to

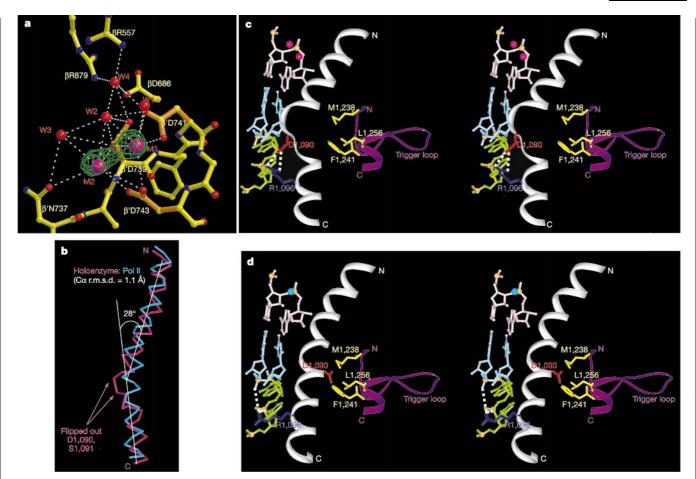


Figure 5 Catalytic centre. **a**, The ${\rm Mg}^{2+}$ -binding site. The slow annealing omit $|F_0-F_C|$ map contoured at 4.5σ (green) is shown for ${\rm Mg}^{2+}$ ions. ${\rm Mg}^{2+}$ ions (magenta) and water molecules (red) are shown as spheres. **b**, Superposition of the holoenzyme (magenta) and Pol ${\rm II}^{23}$ (cyan) bridge helices. **c**, **d**, Two conformational states (stereo views) of the bridge

helix (white); in the holoenzyme (\mathbf{c}) and in Pol II (\mathbf{d}) . The G-loop (purple), modelled for Pol II in \mathbf{d} , and the DNA/RNA (cyan/pink) hybrid²³ (downstream DNA, green), modelled for the holoenzyme in \mathbf{c} , are shown. The catalytic Mg^{2+} ions are shown as magenta (\mathbf{c}) and cyan (\mathbf{d}) spheres.

form an open promoter complex. The analysis of the holoenzyme structure and the model for the closed promoter complex discussed above allow us to hypothesize as to how the holoenzyme may catalyse promoter opening. Once the closed promoter complex is formed, the downstream DNA would eventually move towards its binding site on the RNAP, which was previously localized in a deep cavity^{23–25}, about 30 Å away from the location of the -10 recognition site (Fig. 6b, c). To achieve this, the dsDNA is likely to be kinked or bent near the -12 to -11 region of the promoter, in the immediate vicinity of the entrance to the active site cleft (Fig. 6b). Disruption of base-pairing at the -12 to -10 region and DNA strand separation (nucleation of promoter melting³⁸) should follow the specific recognition of this region by σ . We speculate that the melting of two or three DNA base pairs would sufficiently increase the DNA flexibility to direct the downstream dsDNA towards its binding site on the RNAP. However, the downstream dsDNA access to the binding site is still obstructed by the presence of the conserved σ α -helix (σ 74–92, region 1.2) and the β helix–loop–helix fragment $(\beta 232-259)$ (Fig. 6b, c). The distance between these elements $(\sim 16 \,\text{Å})$ is much shorter than the dsDNA diameter of about 22 Å (Fig. 6c). Interestingly, in our model, the β -subunit loop (β 242– 253) protruding from the helix-loop-helix motif fits nicely in the dsDNA major groove, in close proximity to the +1 to +3 nt positions, which are known to be the end point of promoter melting (Fig. 6a, b). The sequence analysis shows a consensus motif of six residues—(L/M)RPGEP—in bacterial RNAPs (β242-247 in T. ther*mophilus*), which are spatially located at the tip of the β loop. This β loop may serve as a gate that allows single-stranded, but not doublestranded, DNA to go through. By interacting with DNA, this 'gate' loop may also stabilize the DNA orientation to favour melting, and/ or to facilitate the downstream DNA rotation or oscillation around the helical axis to unwind the dsDNA. It should be noted that in the core enzyme, which is incapable of melting dsDNA, the absence of σ opens enough space at this region for the dsDNA to go through (Fig. 6d). After the DNA melting reaches the β loop (around nt +2 or +3), the unwound DNA and the downstream dsDNA may continue their movement towards the active site to complete the transcription bubble formation.

The mechanism of promoter DNA melting is largely unknown, and the 'gate' loop of the β subunit described here has never been the subject of genetic or biochemical studies. Future analyses of this region are required to shed light on its possible function.

Promoter escape

To better understand the mechanism of promoter escape by RNAP and σ release, we constructed a model of a DNA–RNA hybrid (9 bp) bound to the holoenzyme, based on a simple superposition of the conserved catalytic domains from the crystal structures of the eukaryotic elongation complex²³ and the *T. thermophilus* holoenzyme. According to our model, the DNA–RNA hybrid probably fits in a narrow passage (~11 Å wide) formed by the helix–loophelix β fragment (β 375–408), and a hairpin loop from σ 70 LD (σ 318–329) protruding into the major groove of the DNA–RNA hybrid double helix (Fig. 6e). The putative interactions of the σ hairpin loop with the nucleotides in the hybrid may further deform the unusual 'underwound' conformation of the DNA–RNA

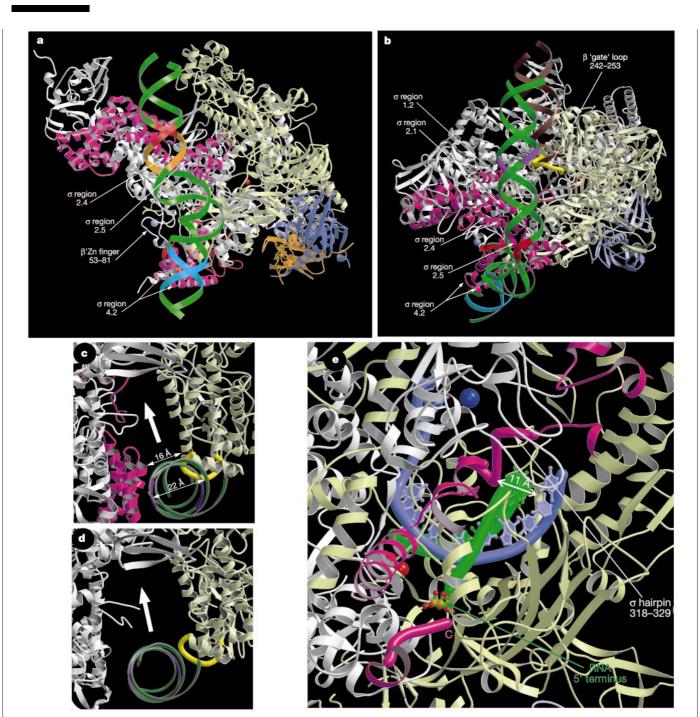


Figure 6 Models of the holoenzyme–nucleic acid complexes. The protein colour coding is the same as in Fig. 1c, except all of β' is shown in white. **a, b**, The closed **(a)** and partially melted **(b)** promoter complexes. **c, d,** The same DNA model as in **b,** but looking down the dsDNA axis for the holoenzyme **(c)** and for the *T. aquaticus* core **(d)**. The dsDNA (green) contains the -35 (cyan), -10 (red), extended -10 (orange) and +1 to +3 (blue)

promoter regions. A brown dsDNA (b) indicates the downstream DNA-binding site in the open promoter complex. e, The model of the holoenzyme complex with the DNA/RNA (blue/green) hybrid and the ssRNA transcript. The Mg^{2+} (red) and Pb^{2+} (blue) ions are shown as spheres.

hybrid²³. Thus, the loop may act as a destabilizer to facilitate the disruption of the DNA–RNA hybrid base pairs. At the same time, the hybrid, competing with the σ destabilizer loop for limited space, may displace the loop from the active site cavity, thereby initiating the release of σ from the promoter and/or RNAP. This putative competition may also result in the release of abortive RNA products. After strand separation, the RNA chain is likely to enter the RNA-exit channel in an extended, rather than stacked, conformation. This is because the channel is substantially narrowed in the holoenzyme structure (10–12 Å in diameter, compared with 16–

19 Å in the *T. aquaticus* core) by the presence of the σ LD and the more closed conformation of the β flap domain. When RNAP synthesizes a 12-nt RNA, the 5'-terminal 3 or 4 nt in the extended conformation may easily reach the outlet of the RNA-exit channel, which in the holoenzyme structure is blocked by the σ C terminus (Fig. 6e). The potential steric collision between σ CD and nascent RNA may facilitate the displacement of the σ CD from the RNAP and from the -35 region of the promoter, to trigger the dissociation of σ 70 and promoter escape. This mechanism is consistent with recent biochemical data³⁹.

Methods

Crystallization and data collection

The T. thermophilus holoenzyme was purified as described*0. The holoenzyme crystals were grown by the hanging-drop vapour-diffusion technique. For crystallization, 2 μl of well solution were mixed with 2 μl of protein solution (protein concentration $10~\text{mg ml}^{-1}$). The final conditions, 13~mM magnesium formate, 5% polyethylene glycol 400, 2~mM spermine, 2~mM dithiothreitol and 20~mM MES buffer (pH 5.8), yielded high-quality hexagonal crystals*0. The crystals of the native enzyme and several heavy-atom derivatives were subjected to data collection at SPring-8 synchrotron beam lines. Among these, the crystal of the lead heavy-atom derivative—(CH_3)_3Pb(CH_3COO)_2—showed the best diffraction pattern, and the data from this crystal were used for refinement (see Supplementary Information). To increase the data completeness, data from the same crystal were collected at the BL44XU and BL45XU beam lines. The data were processed by the HKL2000 program package*1.

Structure determination and refinement

Careful analysis of the diffraction data, as described previously40, revealed that the holoenzyme crystals belong to the P32 space group, have perfect merohedral twinning along a three-fold axis⁴², and contain two molecules in the asymmetric unit, the orientation of which closely resembles crystallographic P65 symmetry. The molecular replacement solution, which was obtained previously for the 3 Å native data using the T. aquaticus RNAP core enzyme structure (PDB number 1I6V) as a search model⁴⁰, was used as a starting point for the holoenzyme structure determination. The rigid body refinement using the CNS program 43 converged to an $R_{\rm free}$ of 39.2% at 2.6 Å resolution. At this stage, the solvent flipping procedure⁴⁴, as implemented in the CNS program⁴³, greatly improved the electron density map of the σ subunit and the regions of the core enzyme that were absent from the T. aquaticus protein structure. The refinement and model building revealed several 'local' mistakes in the sequence assignment of the T. aquaticus core, with the largest misassigned region of 70 amino acids (β 460–530) in the β subunit. The model was gradually improved by alternate cycles of CNS refinement and model building using the O program⁴⁵. The two lead ions in each holoenzyme molecule substitute for zinc in the two zinc-binding sites. This is revealed by the more than $20\sigma\,$ peaks in the difference electron density map at 3.5 Å resolution produced between the lead derivative and native data (data not shown). At the final stage, water molecules were added to the model, using alternate steps of the 'water pick' and 'water delete' procedures as implemented in the CNS program 43 . The refinement converged to a final R-factor value of 22.8% ($R_{\text{free}} = 27.4\%$) for all data at 2.6 Å resolution (see Supplementary Information). The asymmetric unit contains two holoenzyme molecules, with r.m.s.d. = $1.05\,\text{Å}$ over all main-chain atoms. Figures 1, 2, 5a and 6 were prepared using the programs O4 Molscript⁴⁶, Bobscript⁴⁷ and Raster3D⁴⁸. Other figures were prepared by the WebLab ViewerPro program (MSI).

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Competing interests statement

The authors declare that they have no competing financial interests.

Correspondence and requests for materials should be addressed to D.G.V. (e-mail: dmitry@yumiyoshi.harima.riken.go,jp), S.Y. (e-mail: yokoyama@biochem.s.u-tokyo.ac.jp) or S.B. (e-mail: serbor@asan.com). The atomic coordinates have been deposited in the Protein Data Bank under accession number 1IW7.