



## Letter of Intent to Establish a Subaward Agreement

Title of Application			
Applicant / Prime Institution		University of Alabama at Birmingham	
Prime Principal Investigator			
Subrecipient / Collaborating Institution			
Subrecipient Investigator			
Subrecipient Administrative Contact			
Contact Phone		Contact Email	
Proposed Effective Date			
Project Specific Compliance-Subrecipient Scope Involves:		<input type="checkbox"/> Human Subjects	<input type="checkbox"/> Animals
		<input type="checkbox"/> IBC	<input type="checkbox"/> Dual Use

### Costs Requested by Subrecipient

First Budget Year		Project Period	
Direct Costs		Direct Costs	
F & A Costs		F & A Costs	
Total Costs		Total Costs	

*The amounts shown above appear in the application; the actual amount awarded to the cooperating institution will be determined after an award is made.*

The appropriate program and administrative personnel of each institution involved in this grant application are prepared to establish and administer the necessary subaward agreement consistent with the prime sponsor policies.

YES	NO	Please review and certify the following.
<input type="checkbox"/>	<input type="checkbox"/>	Subrecipient is a member of the <a href="#">FDP Expanded Clearinghouse</a> cohort. <i>If yes, then Subrecipient Questionnaire is not required.</i>
<input type="checkbox"/>	<input type="checkbox"/>	Subrecipient hereby certifies that neither it nor its principals nor those performing services under this Agreement are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency from participation in this transaction and have not, within the 3 year period preceding this application, been convicted of, or had a civil judgment rendered against them or had any public transaction (Federal State or local) terminated for cause or default.
<input type="checkbox"/>	<input type="checkbox"/>	Subrecipient hereby certifies that it is not delinquent on repayment of any federal debt including direct and guaranteed loans and other debt as defined in OMB Circular A-129 "Managing Federal Credit Programs".
<input type="checkbox"/>	<input type="checkbox"/>	Subrecipient Organization/Institution hereby certifies that it has an active and enforced conflict of interest policy that is consistent with the provision of 42 CFR Part 50, Subpart F "Responsibility of Applicants for Promoting Objectivity in Research." Subrecipient also certifies that, to the best of Institution's knowledge, 1) all financial disclosures have been made related to the activities that may be funded by or through a resulting agreement, and required by its conflict of interest policy; and 2) all identified conflicts of interest have or will have been satisfactorily managed, reduced or eliminated in accordance with subrecipient's conflict of interest policy prior to the expenditures of any funds under resultant agreement. Subrecipient Institutions must report identified financial conflicts of interests to UAB via email to <a href="mailto:osp@uab.edu">osp@uab.edu</a> within 30 calendar days to allow UAB to report the Financial Conflict of Interest to the NIH to meet its reporting obligations.

#### Applicant / Prime Institution

University of Alabama at Birmingham

\_\_\_\_\_  
Name of Institution

\_\_\_\_\_  
Signature of Authorized Official

Melinda T. Cotten, Assoc. Vice President

Research Business Operations

\_\_\_\_\_  
Name & Title of Authorized Official

\_\_\_\_\_  
Date

#### Subrecipient / Cooperating Institution

\_\_\_\_\_  
Name of Institution

\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Name & Title of Authorized Official

\_\_\_\_\_  
Date