

Subrecipient Certifications

Ongoing Projects/Continuations/Amendments



UAB Principal Investigator:

Type of Subaward: Increment/Noncompeting Extension of Time/NCE Additional Funds

Subrecipient/Collaborating Institution:

Subrecipient Investigator:

Sponsor/Title of Project:

Effective Start Date:

Subrecipient Administrative Contact

End Date:

Name:

Project Specific Compliance-Subrecipient Scope Involves:

Email:

Human Subjects - Please attach IRB Approval Letter

Phone:

Animals - Please attach IACUC Approval Letter

IBC

Dual Use

Costs Requested by Subrecipient in Attached Budget

| | |
|---------------|--|
| Direct Costs: | |
| F & A Costs: | |
| Total: | |

Certifications (Please Check Applicable Boxes Below):

Subrecipient is a member of the FDP Expanded Clearinghouse.

Subrecipient hereby certifies that neither it nor its principals nor those performing services under this Agreement are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency from participation in this transaction and have not, within the 3 year period preceding this application, been convicted of, or had a civil judgment rendered against them or had any public transaction (Federal State or local) terminated for cause or default.

Subrecipient hereby certifies that it is not delinquent on repayment of any federal debt including direct and guaranteed loans and other debt as defined in OMB Circular A-129 "Managing Federal Credit Programs".

Subrecipient Organization/Institution hereby certifies that it has an active and enforced conflict of interest policy that is consistent with the provision of 42 CFR Part 50, Subpart F "Responsibility of Applicants for Promoting Objectivity in Research." Subrecipient also certifies that, to the best of Institution's knowledge, 1) all financial disclosures have been made related to the activities that may be funded by or through a resulting agreement, and required by its conflict of interest policy; and 2) all identified conflicts of interest have or will have been satisfactorily managed, reduced or eliminated in accordance with subrecipient's conflict of interest policy prior to the expenditures of any funds under resultant agreement. Subrecipient Institutions must report identified financial conflicts of interests to UAB via email to subawards@uab.edu within 30 calendar days to allow UAB to report the Financial Conflict of Interest to the NIH to meet its reporting obligations.

SUBRECIPIENT APPROVAL:

The information, certifications, and representations above have been read, signed, and made by the below named authorized official of the Subrecipient named herein. As the official signing below, I have the authority to legally bind my organization in research administration matters. No work involving human subjects and/or animals may begin until my organization has obtained registered Institutional Review Board and/or Animal Care and Use Committee review and approval. ***Any work begun and/or expenses incurred prior to execution of a subaward agreement are at my organization's own risk.***

Signature of Authorized Official

Name & Title of Authorized Official

Date

Office of Sponsored Programs
Phone 205-934-5266
Email: subawards@uab.edu