



UAB Data Use Agreement (DUA) Checklist

The UAB DUA Checklist is to be used when submitting DUA documents/requests. Please submit the completed form along with any required attachments to the Office of Sponsored Programs (OSP).

General Information	Name	Email	Phone
Principal Investigator (PI)			
Primary Contact			
Secondary Contact			
Provider/Recipient			
Provider/Recipient Contact			
Project Title			

1 Are you Providing and/or Receiving?

Providing Receiving Both Providing and Receiving

2 Does the Data contain information collected from human research subjects?

Yes No

3 Does the Data contain any identifiers, individually identifiable health information or protected health information (PHI)? See: [Data Use Agreements Webpage](#)

Yes No

4 If the Recipient, how will you fund the research to be conducted with the Data?

If the Provider, how was the research funded that generated the Data?

Provide the following, as applicable:

Agreement Sponsor	Grant/Contract Number	OSP Assigned Number

5 Do you anticipate that any inventions or intellectual property will be developed from the use of the Data?

Yes No

If yes, by whom?

6 Will the Data be used in conjunction with other research?

Yes No

If yes, what research?

7 Do you anticipate receiving or transmitting any Confidential Information as part of the Data transfer?

Yes No

8 Does the Provider or funding source of the Data indicate any of following limitations or restrictions?

Prior Approval for Dissemination/Publication

Restrictions on Access or Participation by Foreign Nationals

Export Control Restrictions (EAR or ITAR)

Not Applicable

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SUBMIT

- 9 If UAB is the Provider, please attach a project description that details work to be done by Recipient with the Data. Click the button below to browse for file(s) to attach.

Browse/Attach

- 10 If UAB is the Receiver, please attach a project description that details work to be done by UAB with the Data. Click the button below to browse for file(s) to attach.

Browse/Attach

- 11 Please attach any DUA Agreement or other project documents received. Click the button below to browse for file(s) to attach.

Browse/Attach

Comments

Should you have any questions, please contact your [OSP Officer](#) or askosp@uab.edu.